

Form PTO-1449 (modified)

JUL 15 2002

List of Patents and Publications for Applicant

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

SURG:163

Serial No.

10/060,940

Applicant

Gregory J. Jackson et al.

Filing Date:

1/30/2002

Group:

2152

U.S. Patent Documents

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MN	C85	"TrueSan FusionOS™ Software: The Information Renaissance: Unifying Storage Applications and Transports", TrueSan Networks, Inc., 2001, pgs. 1-11.
MN	C86	"Increasing Intelligence Within The San Fabric", Brocade, White Paper, June 2001, pgs. 1-6.
MN	C87	"The Essential Elements Of A Storage Networking Architecture", Brocade, White Paper, June 2001, pgs. 1-10.
MN	C88	"Brocade Fabric OS For 2 Gbit/sec Switches", Brocade, October 2001, 4 pgs.
MN	C89	"System Level Reference Design For IEEE 1355 Packet Routing Switch", Xilinx, December 7, 2001, pgs. 1-5.
MN	C90	BigIron Architecture Technical Brief, White Paper, Foundary Networks BigIron, Version 3.2, December 2001, pgs. 1-16.
MN	C91	Data Sheet, Cisco 12410 Internet Router, April 23, 2001, 12 pgs.
MN	C92	"Virtual Interface Architecture Specification", Compaq Computer Corporation, Intel Corporation and Microsoft Corporation, Version 1.0, December 16, 1997, pgs. 1-83.
MN	C93	"ATM" Computer Desktop Encyclopedia, http://www.techweb.com/encycolopedia/defineterm?term=atm , 1/18/02, pgs. 1-5
MN	C94	Copending U.S. Patent Application Serial No. 09/797,414, filed March 1, 2001, "Network Content Delivery System With Peer To Peer Processing Components"

Examiner:

Michael A. Wagner

Date Considered:

03/28/05

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Exam. Init.	Ref. Des.	Citation
mn	C95	Copending U.S. Patent Application Serial No. 09/797,411, Filed March 1, 2001, "Network Security Accelerator"
mn	C96	Copending U.S. Patent Application Serial No. 09/797,198, Filed March 1, 2001, "Systems And Methods For Management of Memory"
mn	C97	Copending U.S. Patent Application Serial No. 09/797,413, Filed March 1, 2001, "Network Connected Computing System"
mn	C98	Copending U.S. Patent Application Serial No. 09/797,199, Filed March 1, 2001, "System And Apparatus For Accelerating Content Delivery Throughout Networks"
mn	C99	Copending U.S. Application Serial No. 09/797,200, Filed March 1, 2001, "Systems And Methods For The Deterministic Management Of Information"
mn	C100	Copending U.S. Application Serial No. 09/797,197, Filed March 1, 2001, "Method And Systems For The Order Serialization Of Information In A Network Processing Environment"
mn	C101	Copending U.S. Application Serial No. 09/797,404, Filed March 1, 2001, "Interprocess Communications Within A Network Node Using Switch Fabric"
mn	C102	Copending U.S. Application Serial No. 09/797,507, Filed March 1, 2001, "Single Chassis Network Endpoint System With Network Processor For Load Balancing"
mn	C103	Copending U.S. Application Serial No. 09/797,201, Filed March 1, 2001, "Systems And Methods For Management Of Memory In Information Delivery Environments"
mn	C104	Copending U.S. Application Serial No. 09/797,412, Filed March 1, 2001, "Network Transport Accelerator"
mn	C105	Copending U.S. Application Serial No. 09/797,506, Filed March 1, 2001, "Network Endpoint System With Accelerated Data Path"
mn	C106	Copending U.S. Application Serial No. 09/797,195, Filed March 1, 2001, "Content Delivery System Providing Accelerate Content Delivery"
mn	C107	Copending U.S. Application Serial No. 09/879,810, Filed June 12, 2001, "Systems And Methods For Providing Differentiated Service In Information Management Environments"
mn	C108	Copending U.S. Application Serial No. 09/879,811, Filed June 12, 2001, "Systems and Methods For Managing Differentiated Service In Information Management Environments"

Examiner:

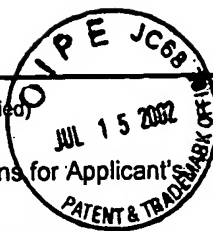
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Exam. Init.	Ref. Des.	Citation
<i>mm</i>	C109	Copending U.S. Application Serial No. 09/879,834, Filed June 12, 2001, "Systems And Methods For Prioritization In Information Management Environments"
<i>mm</i>	C110	Copending U.S. Application Serial No. 09/879,812, Filed June 12, 2001, "Systems And Methods For Billing In Information Management Environments"
<i>mm</i>	C111	Copending U.S. Application Serial No. 09/879,809, Filed June 12, 2001, "Systems And Methods For Resource Tracking In Information Management Environments"
<i>mm</i>	C112	Copending U.S. Application Serial No. 09/879,836, Filed June 12, 2001, "Systems and Methods For Configuration Of Information Management Systems"
<i>mm</i>	C113	Copending U.S. Application Serial No. 09/879,848, Filed June 12, 2001, "Systems And Methods For Providing Differentiated Business Services In Information Management Environments"

Examiner:

Mitchell Anderson

Date Considered:

03/28/05

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See Pages 2-5**U.S. Patent Documents**

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MM	A1	5,592,672	1/7/97	Grewal et al.			1/18/96
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MM	A4	6,002,667	12/14/99	Manning et al.			7/18/96
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MM	A6	5,982,771	11/9/99	Caldara et al.			7/18/96
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MM	A23	4,276,597	6/30/81	Dissly et al.			1/17/74

Examiner:

Michael A. Berger

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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
MN	B1	WO 99/33229	7/1/99				Yes
MN	B2	WO 99/03298	1/21/99				Yes
MN	B3	WO 97/47113	12/11/97				Yes

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MN	C1	"Network Processor Designs for Next-Generation Networking Equipment White Paper" http://www.exchip.com/html/tech_nsppaper.html , 8/30/00, pgs. 1-8
MN	C2	"C5DCP: C-5 Digital Communications Processor (DCP)" http://ebus.mot-sps.com/ProdCat/psp/0,1250,C5DCP~M957198845249,00.html , 9/11/00, pgs. 1-5
MN	C3	Product Brief, C-Port A Motorola Company, "C-5 Digital Communications Processor" pgs. 1-8
MN	C4	Product Brief, Prism IQ2000, Family of Network Processors, "Ushering In A new Era Of Performance and Flexibility, pgs. 1-4
MN	C5	"Strategies to Protect Against Distributed Denial of Service (DdoS) Attacks" http://www.ieng.com/warp/public/707/newflash.html , 2/17/00, pgs. 1-6
MN	C6	Press Release, Vitesse and Power X Announce Agreement To Provide Solutions For High-Performance Networking Applications, http://www.sitera.com/news_events/pr_archive/partner_pr/pr090999.html , 9/19/00, pgs 1-3
MN	C7	Press Release, Power X Unveils Switch Fabric Breakthrough At Networkworld & Interop In Atlanta, http://www.px.uk.com/px_news_press_10.htm , 9/19/00, pgs. 1-4
MN	C8	"SP2 High Performance Switch Overview" http://www.mhpcc.edu/training/workshop/ibnhwsw/switch.html , 9/19/00, pgs. 1-4
MN	C9	CSIX Position Paper, "The Need For A New Common Switch/Fabric Interface To Support Next Generation Networks" http://www.csix.org/csixpp.htm , 9/19/00, pgs. 1-4

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MN	C11	"ASP Server Farm Load Balancing" http://www.asptoday.com/articles/20000822.htm , 10/10/00, pgs. 1-5
MN	C12	"Network Processor Units: The Rising Storm" http://www.sunworld.com/sunworldonline/swol-07-2000/f_swol-0700-npu.html , 8/30/00, pgs. 1-6
MN	C13	Russell Johnson, "Routing Depends on Switch Fabric" http://www.eetimes.com/printableArticle?doc_id=OEG20000124S0043 , 9/19/00, pgs. 1-4
MN	C14	Matsumoto and et al., "Startups Stake Out Turf in Network Processor Battle" http://www.eetimes.com/story/industry/semiconductor_news/OEG19990913S0028 , 9/11/00 pgs. 1-7
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MN	C16	Blake, et al., "An Architecture for Differentiated Services", Dec. 1998
MN	C17	Nichols, et al., "Definition of the Differentiated Services Field (DS Field) in the Ipv4 and Ipv6 Headers", Dec. 1998
MN	C18	"White Paper-Introduction to QoS Policies", Stardust.com, Inc., July 6, 1999
MN	C19	"White Paper-QoS Protocols & Architectures", Stardust.com, Inc., July 8, 1999
MN	C20	"Technology Backgrounder-Quality of Service-Glossary of Terms", Compiled by Vicki Johnson, Stardust.com, Inc., May 1999
MN	C21	Platt, Charles, "The Future Will Be Fast But Not Free", May 2001
MN	C22	"Quality of Service (QoS) in the New Public Network Architecture", <i>IEEE Canadian Review - Fall/Automne 2000</i>
MN	C23	Armitage, Grenville et al., "MPLS: The Magic Behind the Myths", <i>IEEE Communications Magazine</i> , January 2000

Examiner:

Michael H. Gugen

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mn	C24	InfiniBand™ Architecture Specification Volume 1 - Release 1.0, InfiniBand™ Trade Association, October 24, 2000
mn	C25	InfiniBand™ Architecture Specification Volume 2 - Release 1.0, InfiniBand™ Trade Association, October 24, 2000
mn	C26	Dan, et al., "A Generalized Interval Caching Policy for Mixed Interactive and Long Video Workloads," IBM Research Report 95A002731, RC-20206 (89404), Computer Science/Mathematics, 12 pages (September 28, 1995)
mn	C27	Dan, et al., "A Generalized Interval Caching Policy for Mixed Interactive and Long Video Workloads," 8 pages
mn	C28	Dan, et al., "An Online Video Placement Policy based on Bandwidth to Space Ratio (BSR)," SIGMOD, pp. 376-385 (June 1995)
mn	C29	Ozden, et al., "Buffer Replacement Algorithms for Multimedia Storage Systems," 9 pages
mn	C30	Carter, et al., "Improving Video-on-Demand Server Efficiency Through Stream Tapping," 8 pages
mn	C31	Golubchik, et al., "Reducing I/O Demand in Video-On-Demand Storage Servers," ACM 0-89791-695, pp. 25-36 (June 1995)
mn	C32	Sitaram, et al., "Multimedia Servers Applications, Environments, and Design" Chapter 11 Caching Overview and Chapter 12 Memory Cache," pp. 213-251 (2000)
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mn	C34	Little, et al., "Popularity-based assignment of movies to storage devices in a video-on-demand system," Multimedia Systems 2:280-287 (1995)
mn	C35	Lougher, et al., "The Design of a Storage Server for Continuous Media," The Computer Journal, Vol. 36, No. 1 (1993)
mn	C36	Teorey, et al., "A Comparative Analysis of Disk Scheduling Policies," Comm. of the ACM Vol. 15, No. 3, pp. 177-184 (1972)
mn	C37	Gemmell, et al., "Multimedia Storage Servers: A Tutorial," IEEE Vol. 28, No. 5, pp. 40-49 (May 1995)
mn	C38	Chen, et al., "Optimization of the Grouped Sweeping Scheduling (GSS) with Heterogeneous Multimedia Streams," 7 pages

Examiner:

Michele Chaudhary

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mn	C41	Vin, et al., "Designing a Multiuser HDTV Storage Server," IEEE Vol. 11, No. 1, pp. 153-164 (January 1993)
mn	C42	Triantafillou, et al., "Prefetching into Smart-Disk Caches for High Performance Media Servers," 6 pages
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mn	C52	Reddy, "Evaluation of Caching Strategies for an Internet server," IEEE 0-8186-7819, pp. 118-125 (April 1997)
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Examiner:

Michael A. Meyer

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mn	C69	Johnson, et al., "2Q: A Low Overhead High Performance Buffer Management Replacement Algorithm," VLDB Conference (1994)
mn	C70	Jouppi, Norman, "Improving Direct-Mapped Cache Performance by the Addition of a Small Fully-Associative Cache and Prefetch Buffers," IEEE, CH2887, pp. 364-373 (August 1990)
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Michael H. Gugen

Date Considered:

03/28/05

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Form PTO-1449 (modified)

List of Patents and Publications for Applicant

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

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Applicant
Jackson et al.

Filing Date:

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UNKNOWN

U.S. Patent Documents

See Page

Foreign Patent Documents

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Other Art

See Pages 1-3

Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MN	C83	O'Neil, et al., "An Optimality Proof of the LRU-K Page Replacement Algorithm," Journal of the ACM, Vol. 46, No. 1, pp. 92-112, (January 1999)
MN	C84	Ghandeharizadeh, S. et al., On Configuring a single disk continuous media server, Proceedings of the ACM SIGMETRICS. ACM, May 1995

Examiner:

Michael H. ...

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